



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SASHIRO UEMURA, ET AL.

Application No.: 09/933,984

Filed: August 20, 2001

For: VACUUM FLUORESCENT DISPLAY

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated July 11, 2003 (copy enclosed herewith) from a foreign patent office in a counterpart Chinese application, which was forwarded to Applicant's Representative in a communication dated July 11, 2003.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman, Red. No. 30,139

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Melissa Stead

Date

SEP 0 3 7000 EE Substitute for form 1449A/PTO INFORMATION I

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known			
Application Number	09/933,984		
Filing Date	August 20, 2001		
First Named Inventor	Sashiro Uemura		
Art Unit			
Examiner Name			
Attorney Docket Number	96790P374		

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US-5473218	12-05-1995	Moyer				
		US-5786660	07-28-1998	Clerc				
		US-5504387	04-02-1996	Hamagishi et al.				
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	FOREIGN PATENT DOCUMENTS								
	Cite	Foreign Patent Document			Pages, Columns, Lines.				
	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵			
		EP 0951047A2	03-25-1999	Canon Kabushiki Kaisha Tokyo					
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Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

2879

Application No. 09/933,984 TRANSMITTAL FORM Filing Date August 20, 2001 (to be used for all correspondence after initial filing) First Named Inventor Sashiro Uemura Group Art Unit **Examiner Name** 96790P374 Total Number of Pages in This Submission 11 Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication to Group Fee Transmittal Form Drawing(s) Appeal Communication to Board of Appeals and Interferences Fee Attached Licensing-related Papers Appeal Communication to Group Petition Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition to Convert a Provisional Application After Final Proprietary Information Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Status Letter **Extension of Time Request** Other Enclosure(s) **Terminal Disclaimer** (please identify below): Express Abandonment Request Request for Refund Prior Art References (4); Information Disclosure Statement return postcard PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Basic Filing Fee Declaration/POA Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Eric S. Hyman, Reg. No. 30,139 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date **CERTIFICATE OF MAILING/TRANSMISSION** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Typed or printed name Melissa Stead Date Signature

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Based on PTO/SB/17 (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

(\$)

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known			
Application Number	09/933,984		
Filing Date	August 20, 2001		
First Named Inventor	Sashiro Uemura		
Examiner Name			
Group/Art Unit			
Attorney Docket No.	96790p374		

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION (continued)	
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. A	DDITIO	NAL	FEES		
☐ Check ☐ Credit card ☐ Maney ☐ Other ☐ None ☐ Deposit Account	Large	Entity	Sma	II Entity	<u>.</u>	
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	- Fee:Description Fe	ePaid
Deposit Account Number 02-2666		130	0054	65	Surcharge - late filing fee or oath	
Mulliber	1051 1052	50	2051 2052	25	Surcharge - late provisional filing fee or	
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP		•		400	cover sheet.	
	2053 1812	130 2,520	2053 1812	130 2,520	Non-English specification For filing a request for ex parte reexamination	
The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920 1	* Requesting publication of SIR prior to Examiner action	
		4 040 4		4 040 1	* Requesting publication of SIR after	
	1805	1,840 *	1805	1,840	Examiner action	- 1
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month	
1. BASIC FILING FEE	1253	930	2253	465	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Description FeePaid	1254	1,450	2254	725	Extension for reply within fourth month Extension for reply within fifth month	
Fee Fee Fee Fee Fee Description FeePaid Code (\$)	1255	1,970	2255	985 160	Notice of Appeal	
1001 750 2001 375 Utility filing fee	1404 1402	320 320	2401	160	Filing a brief in support of an appeal	
1002 330 2002 165 Design filing fee	1402	280	2403	140	Request for oral hearing	
1003 520 2003 260 Plant filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1004 750 2004 375 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	-
	1453	1,300	2453	650	Petition to revive - unintentional	
SUBTOTAL (1) (\$)	1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	1502	470	2502	235	Design issue fee	
Claims below FeePad	1503 1460	630 130	2503 2460	315 130	Plant issue fee Petitions to the Commissioner	
Independent - 20 =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Clairins 3	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per	
Fee Fee Fee Fee Description					property (times number of properties)	
Code (\$)	1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	ı
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be	
1203 280 2203 140 Multiple Dependent claim, if not paid					examined (37 CFR § 1.129(b))	
1204 84 2204 42 **Reissue independent claims over original patent	1801	750	2801	375 900	Request for Continued Examination (RCE) Request for expedited examination	
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1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Julei I	oa (ap o olly	,			
SUBTOTAL (2) (\$)			Clina Car	o Doid	CURTOTAL (2)	
**or number previously paid, if greater, For Reissues, see below	- Keano	ed by Basic		c ratu	SUBTOTAL (3) (\$)	
SUBMITTED BY					Complete (if applicable)	
Name (Print/Type) Eric S. Hyman		Registrati Attorney/Ag		• -	30,139 Telephone (310) 207-33	800
Signature	A				Date & 1962	<u> </u>